

12/31/01  
JC950 U.S. PTO

01-8-02

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.P9765x2

(maximum 12 characters)

First Named Inventor Anderson, et al.

Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

Express Mail Label No. EL672745950US

ADDRESS TO: **Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231-0001**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.   X   **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.        **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.   X   **Specification (Total Pages 47)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.   X   **Drawings (35 USC 113) (Total Sheets 4)**
5.   X   **Oath or Declaration (Total Pages 5)**
  - a.        Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.        DELETIONS OF INVENTOR(S) Signed statement attached deleting  
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
  - c.   X   Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8.        **Nucleotide and/or Amino Acid Sequence Submission**  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on:
    - i.        CD-ROM or CD-R (2 copies); or
    - ii.        paper
  - c.        Statement verifying identity of above copies

JC950 U.S. PTO  
10/03/96

12/31/01

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# **ACCOMPANYING APPLICATION PARTS**

9. ☐ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☐ Other: \_\_\_\_\_

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)  
**Of Prior Application No.: 09/865,919 Filed 05/24/2001 Examiner not yet known Group Art Unit 2152**  
 (which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. \_\_\_\_\_,  
 which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.**  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**  
 The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

## **19. Correspondence Address**

☒ Customer Number or Bar Code Label 008791  
 or (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME John P. Ward  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300, x237 FAX (408) 720-9397

Name (PRINT/TYPE): Christian A. Nicholes Registration No.: P-50,266  
 Signature: *Christian A. Nicholes* Date: December 31, 2001

## FEE TRANSMITTAL FOR FY 2002

**TOTAL AMOUNT OF PAYMENT \$1,316.00**

**Complete if Known:**

Application No. not yet assigned

Filing Date December 31, 2001

First Named Inventor Anderson, et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. 42390.P9765x2

**METHOD OF PAYMENT (check one)**

1. ☒ [ X ] The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name \_\_\_\_\_

☐ [ ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ [ ] Applicant claims small entity status. See 37 CFR 1.27

2. ☒ [ X ] Payment Enclosed: ☒ [ X ] Check  
☐ [ ] Money Order  
☐ [ ] Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility application filing fee	<u>740.00</u>
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

**SUBTOTAL (1) \$ 740.00**

**2. EXTRA CLAIM FEES**

		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>38</u>	- 20** = <u>18</u>	X 18.00	= 324.00
Independent Claims	<u>6</u>	- 3** = <u>3</u>	X 84.00	= 252.00
Multiple Dependent				= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 576.00**

TOTAL 09666007

## Large Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**\*Reduced by Basic Filing Fee Paid**

**Typed or Printed Name:** Christian A. Nicholes

**Reg. Number: P-50,266**

10/04/01

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672745950US

Date of Deposit: 12/31/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to:

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231-0001.

Judy L. Steinkraus

12/31/01

Serial/Patent No.: tba Filing/Issue Date: December 31, 2001  
Client: Intel Corporation  
Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSIST-  
ants  
BSTZ File No.: 42390.P9765x2 Atty/Secty Initials: JPW (BDB) jxs  
Date Mailed: December 31, 2001 Docket Due Date: n/a  
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input checked="" type="checkbox"/> Express Mail No.: EL672745950US	<input checked="" type="checkbox"/> Check No. 47418
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> _____ Month(s) Extension of Time	Amt: \$576.00
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO 440 (____ pgs.)	<input type="checkbox"/> Check No. 47399
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	Amt: \$740.00
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input checked="" type="checkbox"/> Application - Rule 1.53(b) CIP (47 pgs.)	<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input checked="" type="checkbox"/> Preliminary Amendment (1 pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Response to Notice of Missing Parts	
<input checked="" type="checkbox"/> Declaration & POA (5 pgs.) (unexecuted)	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventions Signed Letter (____ pgs.)	<input checked="" type="checkbox"/> Transmittal Letter, in duplicate (2 pages)	
<input checked="" type="checkbox"/> Drawings: 4 # of sheets includes _____ figures	<input checked="" type="checkbox"/> Fee Transmittal, in duplicate (2 pages)	
Figs. 1, 2, 3, and 4.		
<input type="checkbox"/> Other: _____		